Disequilibrium melting of a two phase multicomponent mantle

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SUMMARY

Melt generation and segregation in Earth’s mantle is typically modelled using the mixture theory of two phase flows, which combine a set of conservation laws for mass, momentum and energy with phenomenological laws for fluxes of mass and heat. Most current two phase flow models assume local thermodynamic equilibrium between melt and matrix, but geochemical observations suggest disequilibrium transport may play an important role. Here we generalise the existing two phase flow theories to encompass multiple thermodynamic components and disequilibrium. Our main focus is on the phenomenological laws describing phase change and we present general disequilibrium melting laws, which reduce to the familiar fractional and equilibrium melting laws in appropriate limits. To demonstrate the behaviour of our melting laws, we address two simple model problems for a binary system: melting at constant pressure and melting in a 1-D upwelling column at steady state. The framework presented here will prove useful in future for modelling reaction infiltration instabilities in a thermodynamically consistent manner. This framework will be useful not only for magma dynamics but for a wide range of reactive two phase flow problems.

Key words: Mid-ocean ridge processes; Mechanics, theory, and modelling; Magma genesis and partial melting; Magma migration and fragmentation.

1 INTRODUCTION

Beneath the Earth’s mid-ocean ridges the mantle melts and that melt rises to the surface to form new crust. Why the mantle melts is well understood: it is a natural consequence of the thermodynamics of decompression melting (e.g. Stolper & Asimow 2007). But how the melt rises is still poorly understood, despite many decades of work on the problem. Part of the reason for this poor understanding is the complex coupling that exists between melt segregation and melt generation. To have a full description of the system we must consider not only the fluid dynamics of melt segregation but also the thermodynamics and perhaps even kinetics, of melt generation.

The main geodynamical modelling approach for this magma dynamics problem has been to use the mixture theory of two phase flows (Drew 1983). A particularly useful and highly simplified two phase flow theory appropriate to magma dynamics was written down by McKenzie (1984) and others (Scott & Stevenson 1984; Fowler 1985) and has since been applied to a wide variety of problems. In these models, melt percolates through the matrix according to Darcy’s law and the matrix resists compaction through an effective bulk viscosity. More recently, these two phase flow theories have been generalised to account for additional phenomena such as surface tension and damage (Bercovici et al. 2001; Ricard et al. 2001; Bercovici & Ricard 2003) and have been made more rigorous by the formal homogenisation of microscale models (Simpson et al. 2010a,b).

The present work seeks to extend the current two phase flow models of magma dynamics in two ways: (1) to allow chemical disequilibrium between the two phases and (2) to encompass multiple thermodynamic components. In most two phase flow models, local thermodynamic equilibrium is assumed to hold everywhere. This is a very useful assumption, as it means that the thermodynamic variables are constrained to lie on phase diagrams, which has been much exploited in recent geodynamic models (Katz 2008; Tirone et al. 2009). However, there is compelling geochemical evidence which suggests that melts are not always in chemical equilibrium with the matrix through which they pass (Kelemen et al. 1997) and indeed mantle melting is thought to be closer to a fractional process than an equilibrium process. Chemical disequilibrium has been invoked in models of the reaction infiltration instability (Aharonov et al. 1995; Spiegelman et al. 2001), a mechanism that may explain the focusing of melt into channels, promoting rapid transport of the melt.

Recent models of reactive transport have used somewhat ad hoc linear kinetic laws to describe mass transfer between phases, but the aim here is to provide a more rigorous treatment. For single component melting, two phase flow equations encompassing chemical...
dis-equilibrium were derived by Sramek et al. (2007) (although no dis-equilibrium calculations were performed). Sramek et al. (2007) invoked the theory of non-equilibrium thermodynamics (e.g. de Groot & Mazur 1984) to provide linear phenomenological laws for dis-equilibrium melting and we follow their approach in our generalisation to multiple components.

The manuscript is organised as follows: First, we write down the equations governing conservation of mass, momentum and energy. The set of governing equations are completed by equations of state and phenomenological laws for transfer of heat and mass between and within the phases. The main focus here is on the phenomenological laws governing transfer of mass between the phases (i.e. melting, crystallisation, or dissolution). Non-equilibrium thermodynamics provides a theoretical basis for linear phenomenological laws, but it seems that non-linear laws are required if fractional melting is to be described accurately. Finally, the theory is applied to two well-studied model problems for a binary system: melting and solidification calculations were performed). Sramek et al. (2007) followed their approach in our generalisation to multiple components.

2 MASS

In what follows, subscripts refer to the individual phases (either the fluid melt f or solid matrix s) and superscripts to the components (1, 2, \ldots, n).

2.1 Phases

Conservation of mass for the two phases is

$$\frac{\partial \left( \phi \rho_f \right)}{\partial t} + \nabla \cdot \left( \phi \rho_f \mathbf{v}_f \right) = \Gamma,$$

(1)

$$\frac{\partial \left( (1-\phi) \rho_s \right)}{\partial t} + \nabla \cdot \left( (1-\phi) \rho_s \mathbf{v}_s \right) = -\Gamma,$$

(2)

where \( \phi \) is the porosity (the volume fraction of melt), \( \rho_f \) and \( \rho_s \) are densities and \( \mathbf{v}_f \) and \( \mathbf{v}_s \) are velocities of the fluid and solid respectively, \( \Gamma \) represents the total rate of mass exchange from solid phase to fluid phase (the melting rate). It follows that given any scalar quantities \( a_f \) and \( a_s \) per unit mass we have

$$\frac{\partial \left( \phi \rho_f a_f \right)}{\partial t} + \nabla \cdot \left( \phi \rho_f \mathbf{v}_f a_f \right) = \phi \rho_f \frac{D_j a_f}{D t} + \Gamma a_f,$$

(3)

$$\frac{\partial \left( (1-\phi) \rho_s a_s \right)}{\partial t} + \nabla \cdot \left( (1-\phi) \rho_s \mathbf{v}_s a_s \right) = (1-\phi) \rho_s \frac{D_j a_s}{D t} - \Gamma a_s,$$

(4)

where \( D_j /Dt \) and \( D_s /Dt \) are Lagrangian derivatives following the fluid and solid, respectively. It follows that

$$\frac{\partial}{\partial t} \left( \phi \rho_f a_f + (1-\phi) \rho_s a_s \right) + \nabla \cdot \left( \phi \rho_f \mathbf{v}_f a_f + (1-\phi) \rho_s \mathbf{v}_s a_s \right)$$

$$= \phi \rho_f \frac{D_j a_f}{D t} + (1-\phi) \rho_s \frac{D_j a_s}{D t} - \Gamma a_s,$$

(5)

where \( \Delta a = a_s - a_f \). These expressions are useful in writing the conservation equations to come in a more compact form, as throughout expressions will be cast in terms of Lagrangian derivatives.

The mass conservation eqs (1) and (2) can be rewritten in Lagrangian form as

$$\nabla \cdot \mathbf{v}_f = -\frac{1}{\phi} \frac{D \phi}{D t} + \rho_f \frac{D \left( (1/\rho_f) \right) / Dt}{\phi} + \frac{\Gamma}{\phi \rho_f},$$

(6)

Similarly, the mean velocity \( \mathbf{v} \equiv \phi \mathbf{v}_f + (1-\phi) \mathbf{v}_s = \phi(\mathbf{v}_f - \mathbf{v}_s) + \mathbf{v}_s \) satisfies

$$\nabla \cdot \mathbf{v} = \phi \rho_f \frac{D_j \left( (1/\rho_f) \right) / Dt}{\phi} + (1-\phi) \rho_s \frac{D_j \left( (1/\rho_s) \right) / Dt}{\phi} - \Gamma \Delta (1/\rho),$$

(8)

where \( \Delta (1/\rho) = 1/\rho_s - 1/\rho_f \). It is often more convenient to work with mass conservation in the form of (7) and (8) rather than (1) and (2).

2.2 Components

The two phases are made up of \( n \) thermodynamic components e.g. if the phases were pure olivine, component 1 could be Mg2SiO4 (forsterite) and component 2 could be Fe2SiO4 (fayalite). Conservation of components is

$$\phi \rho_f \frac{D_j c_f / c_j}{D t} + \Gamma c_f = -\nabla \cdot \mathbf{J}_f + \Gamma^f,$$

(9)

$$(1-\phi) \rho_s \frac{D_j c_s / c_j}{D t} - \Gamma c_s = -\nabla \cdot \mathbf{J}_s - \Gamma^s,$$

(10)

where the left hand sides of the above equations have been written in Lagrangian form using (3) and (4). \( c_f \) and \( c_s \) are the concentrations by mass of component \( j \) in the two phases. \( \Gamma^f \) represents the rate of mass exchange of component \( j \) from solid to fluid (interphase exchange), whereas \( \mathbf{J}_f \) and \( \mathbf{J}_s \) represent the diffusive mass fluxes of component \( j \) within the fluid and solid phases respectively (intraphase fluxes). For consistency with the conservation of mass eqs (1) and (2), the following constraints hold

$$\sum_j c_f = \sum_j c_s = 1,$$

(11)

$$\sum_j \mathbf{J}_f = \sum_j \mathbf{J}_s = 0,$$

(12)

$$\Gamma = \sum \Gamma^f.$$

(13)

The first of these constraints simply states that \( c_f \) and \( c_s \) represent the compositions of the two phases and thus must sum to 1. Eq. (12) states that the diffusive intraphase fluxes must sum to zero and (13) states that the total rate of interphase mass transfer from solid to liquid is given by the sum of the mass exchanges from solid to liquid of the individual components.

3 MOMENTUM

Derivations of the equations governing conservation of momentum are much more involved than those governing conservation of mass and have been discussed in detail by many other authors (McKenzie 1984; Scott & Stevenson 1984; Fowler 1985; Schmeling 2000; Bercovici et al. 2001; Ricard et al. 2001; Bercovici & Ricard 2003; Simpson et al. 2010a,b). Only a brief outline of their derivation is given here.

Conservation of momentum for the slow creeping flow of the two phases is

$$\nabla \cdot \left( \phi \mathbf{\sigma}_f \right) + \phi \rho_f \mathbf{g} = \mathbf{F},$$

(14)
\[ \nabla \cdot ((1 - \phi) \sigma_f) + (1 - \phi) \rho_s g = -F, \]  
\[ \nabla \cdot \bar{\sigma} + \nabla g = 0, \]  
where \( F \) is the interphase force per unit volume, representing the force one phase exerts on the other and \( g \) is the acceleration due to gravity. \( \sigma_f \) and \( \sigma_s \) are the stress tensors for the two phases. It is assumed that locally components within the same phase feel the same stress, but that different phases feel different stresses. Hence only two momentum equations are needed (one for each phase), rather than the 2n equations that are needed to describe conservation of mass (one for each phase and \( 2(n-1) \) for the components).

Eqs (14) and (15) can be summed to give the total conservation of momentum equation
\[ \nabla \cdot \bar{\sigma} + \nabla g = 0, \]  
where the average stress tensor and density are defined by
\[ \bar{\sigma} = \phi \sigma_f + (1 - \phi) \sigma_s, \]  
\[ \bar{\sigma} = \phi \sigma_f + (1 - \phi) \rho_s. \]  

Mechanical pressures for the two phases are defined in the standard way by
\[ p_f = -\frac{1}{3} \text{tr} \sigma_f, \]  
\[ p_s = -\frac{1}{3} \text{tr} \sigma_s, \]  
with a mean mechanical pressure given by
\[ \bar{p} = -\frac{1}{3} \text{tr} \bar{\sigma} = \phi p_f + (1 - \phi) p_s. \]  
The stress tensors can be split into isotropic and deviatoric (trace-free) parts as
\[ \sigma_f = -p_f I + \tau_f, \]  
\[ \sigma_s = -p_s I + \tau_s, \]  
where \( \tau_f \) and \( \tau_s \) are the deviatoric stress tensors and \( I \) is the identity tensor.

A simple phenomenological law for the interphase force \( F \) is given by (Drew 1983)
\[ F = d (v_f - v_s) - P \nabla \phi, \]  
where \( d \) is a drag coefficient associated with resistance of motion of the two phases past each other and \( P \) is the interface pressure, which produces a net force if porosity spatially varies. Throughout this work we will neglect surface tension, but a generalisation of the above law to encompass surface tension effects can be found in Bercovici et al. (2001).

To complete the set of equations, phenomenological laws are needed to determine the pressure differences \( P - p_f \) and \( P - p_s \) and the deviatoric stress tensors \( \tau_f \) and \( \tau_s \). To be consistent with the simplified two phase flow theory of McKenzie (1984), the phenomenological laws must take the form
\[ P - p_f = 0, \]  
\[ P - p_s = \frac{\zeta_s}{1 - \phi} \nabla \cdot v_s, \]  
\[ \tau_f = 0, \]  
\[ \tau_s = \frac{\eta_s}{1 - \phi} \left( \nabla v_s + \nabla v_s^T - \frac{2}{3} (\nabla \cdot v_s) I \right). \]  

These laws appear somewhat asymmetric due to an assumption that the matrix is much more viscous that the melt. (14), (24), (25) and (27) then lead to the usual Darcy’s law for the melt,
\[ \phi (v_f - v_s) = -\frac{k_\phi}{\mu} (\nabla P - \rho_s g), \]  
where the permeability \( k_\phi \) (a function of porosity) is related to the drag coefficient by \( d = \mu \phi^2 / k_\phi \). (16) and (25)–(28) lead to a total conservation of momentum equation
\[ \nabla P = \nabla \cdot \left( \eta_\phi \left( \nabla v_\phi + \nabla v_\phi^T \right) \right) + \nabla \left( \left( \zeta_\phi - \frac{2}{3} \eta_\phi \right) \nabla \cdot v_\phi + \nabla g \right), \]  
which resembles the equation governing compressible Stokes flow, where \( \eta_\phi \) and \( \zeta_\phi \) can be interpreted as effective shear and bulk viscosities for the two phase mixture (which are also porosity dependent). More general phenomenological laws than those in (25)–(28) were developed by Bercovici & Ricard (2003) to preserve material invariance and these laws are outlined briefly in Appendix A (also see discussion in Simpson et al. 2010a).

## 4 ENERGY

When considering the thermodynamics of two phase flow, as we must when considering conservation of energy, a key difficulty is the notion of pressure and in particular the difference between ‘thermo-dynamic’ and ‘mechanical’ definitions of pressure. The thermodynamic pressure appears in definitions of thermodynamic potentials for example, in the relationship between enthalpy and internal energy, \( H = U + p V \). The mechanical pressures are defined by minus one third the trace of the stress tensor, as in (19), (20) and (21). Even for a compressible single phase viscous fluid there is difference between these two definitions of pressure. This difference depends on the bulk viscosity and the divergence of the velocity field and disappears in equilibrium. For a two phase system, the situation is much less clear as there are multiple mechanical pressures and potentially multiple thermodynamic pressures.

In what follows all thermodynamic potentials for both phases are defined with \( P \), the interface pressure, as the appropriate thermodynamic pressure. There is some justification for this in the work of Sramek et al. (2007) where it was shown that this leads to a particularly natural characterisation of phase change. For the phenomenological laws considered, the difference between and thermodynamic pressures only depends on the divergences of the velocity fields, in a way analogous to the compressible single phase case. Using \( P \) for the thermodynamic pressure is also identical to the assumption made by McKenzie (1984) where the fluid pressure (identical to the interface pressure, 25) was chosen as the common thermodynamic pressure for the two phases. Nevertheless, thermodynamic pressure remains a thorny aspect of two phase flow theories and deserves further careful study.

Conservation of total internal energy is (McKenzie 1984; de Groat & Mazur 1984)
\[ \phi \rho_f \frac{D u_f}{D t} + (1 - \phi) \rho_s \frac{D u_s}{D t} - \Gamma \Delta u = Q - \nabla \cdot q + \nabla \cdot (\phi \sigma_f \cdot v_f + (1 - \phi) \sigma_s \cdot v_s) + \phi \rho_f v_f \cdot g \]  
\[ + (1 - \phi) \rho_s v_s \cdot g, \]  
where \( u_f \) and \( u_s \) are the internal energies per unit mass of the two phases, \( Q \) is the rate of internal heat production (e.g. from radioactivity), \( q \) is the diffusive heat flux and the remaining terms
on the right hand side are sources of energy due to work. Using the momentum eqs (14), (15) and (24), the energy equation can be simplified to

$$\phi_r \frac{D_u}{Dt} + (1 - \phi) \rho_v \frac{D_v}{Dt} - \Gamma \Delta u = Q - \nabla \cdot q - P \nabla \cdot \mathbf{r} + \Psi,$$

(32)

where $\Psi$ is the viscous dissipation,

$$\Psi = d (v_i - v_i) + \phi (P - p_i) \nabla \cdot v_i + (1 - \phi) (P - p_i) \nabla \cdot v_i + \phi \tau : \nabla v_i + (1 - \phi) \tau : \nabla v_i.$$

(33)

With the simplified phenomenological laws (25)–(28), the viscous dissipation can be written as

$$\Psi = \frac{\mu \phi^2}{k} (v_i - v_i)^2 + \zeta \phi (\nabla \cdot v_i)^2 + \frac{\eta_a}{2} \left( \nabla v_i + \nabla v_i^T - \frac{2}{3} I (\nabla \cdot v_i) \right)^2.$$

(34)

Here squares represent a dot product for vectors $a^2 = a \cdot a$ and a double dot product for second rank tensors $A^2 = A : A$. A more general expression for the viscous dissipation can be found in Section A using the phenomenological laws of Bercovici & Ricard (2003).

Conservation of energy (32) can be rewritten using conservation of mass (8) as

$$\phi_r \frac{D_u}{Dt} + (1 - \phi) \rho_v \frac{D_v}{Dt} = Q - \nabla \cdot q.$$

(32)

$$\sum_{i} c_i h_i + \sum_{j} \mu_j c_j = \sum_{i} c_i h_i + \sum_{j} \mu_j c_j .$$

(32)

$$\text{the enthalpy equation (37) can be written as an entropy equation,}$$

$$T \phi_r \frac{D_s}{Dt} + T (1 - \phi) \rho_v \frac{D_s}{Dt} - \Gamma T \Delta s = Q - \nabla \cdot q + \sum_j \left( \mu_j \nabla \cdot J_j + \mu_j \nabla \cdot J_j + \Gamma \Delta \mu_j \right),$$

(39)

where we have used conservation of components (9), (10). The above expression can be written as an entropy balance,

$$\phi_s \frac{D_s}{Dt} + (1 - \phi) \rho_v \frac{D_s}{Dt} = -\nabla \cdot J + \sigma,$$

(40)

where $\sigma$ is the entropy flux and $\sigma$ is the entropy production. Comparing (39) and (40), we see that the entropy flux $\sigma$ is related to fluxes of heat and components by

$$\sigma = \frac{q}{T} - \sum_j \left( \mu_j \nu_j + \mu_j \nu_j \right).$$

(41)

The second law of thermodynamics requires that $\sigma \geq 0$ (also known as the Clausius–Duhem inequality).

5 EQUATIONS OF STATE

Equations of state need to be prescribed for the two phases. In theory, this could be done using the internal energy, by specifying functions $u_i(s_i, \rho_i, c_i)$ for the two phases. The temperature, pressure and chemical potentials could then be derived from these functions using the Gibbs relation $d\mu_i = T d s_i - P d (1/\rho_i) + \sum_j \mu_j d c_j$ or

$$T = \frac{\partial u_i}{\partial s_i} - \frac{P}{\rho_i} - \frac{\partial u_i}{\partial (1/\rho_i)} - \frac{\mu_j}{\partial c_j}.$$

(43)

However, thermodynamic data is not usually given in $(s_i, \rho_i, c_i)$ co-ordinates, but rather in $(T, P, c_i)$ co-ordinates and it is helpful to re-express the equations of state. Such co-ordinates are also useful since we are assuming a common temperature $T$ and interface pressure $P$ for both phases. The co-ordinate change uses the following standard partial derivatives in $(T, P, c_i)$ co-ordinates,

$$\alpha_i = \frac{\partial (1/\rho_i)}{\partial T}, \quad \beta_i = -\rho_i \frac{\partial (1/\rho_i)}{\partial P},$$

(44)

$$C_i = T \frac{\partial s_i}{\partial T} = \frac{\partial h_i}{\partial T}.$$

(45)

$\alpha_i$ is the thermal expansion coefficient, $\beta_i$ is the isothermal compressibility and $C_i$ is the specific heat capacity at constant pressure. The dependence on composition is captured by introducing partial specific quantities as

$$h_i = \sum_j c_i h_i, \quad 1/\rho_i = \sum_j c_i/\rho_i,$$

(46)

where $1/\rho_i$ is the partial specific volume of component $j$ in phase $i$ and $h_i$ is the corresponding partial specific enthalpy. Using (44)–(46), we may then write equations of state in terms of Lagrangian derivatives

$$\rho_i \frac{D_i (1/\rho_i)}{Dt} = \alpha_i \frac{D_i T}{Dt} - \beta_i \frac{D_i P}{Dt} + \sum_j \rho_j \frac{D_i c_j}{Dt},$$

(47)
\[
\frac{D h_i}{D t} = C_i \left( \frac{D T}{D t} + \frac{1 - \alpha_i}{\rho_i} \frac{D P}{D t} \right) + \sum_j h'_j \frac{D c'_j}{D t}.
\]  
(48)

Of course, the quantities \(\alpha_i, \beta_i, \) etc. may be functions of \(P, T\) and \(c'_j\) but are often assumed constant for simplicity. Equations of state are also needed to describe the chemical potentials \(\mu'_j\) and these are discussed later in Section 7.

### 6 Temperature Equations

Using (47) we may rewrite the mass conservation eqs (6) and (7) as

\[
\nabla \cdot v = -\frac{1}{\phi} \frac{D \phi}{D t} + \alpha \frac{D T}{D t} - \beta \frac{D P}{D t} + \sum_j \frac{1}{\phi} \frac{\nabla \cdot J'_j}{\rho'_j}.
\]
(49)

\[
\nabla \cdot v_s = \frac{1}{1 - \phi} \frac{D \phi}{D t} + \alpha \frac{D T}{D t} - \beta \frac{D P}{D t} - \sum_j \frac{1}{\phi} \frac{\nabla \cdot J'_j}{\rho'_j} + \frac{\nabla \cdot J'_j}{1 - \phi \rho'_j},
\]
(50)

where the derivatives of composition have been removed using conservation of components (9), (10). The corresponding equation for the mean velocity (8) becomes

\[
\nabla \cdot v = \phi \frac{\nabla \cdot J_s}{\rho_s} + \frac{\nabla \cdot J'_s}{\rho'_s} - \frac{\nabla \cdot J'_s}{\rho'_s} (1 - \phi) P.
\]

Using (48), the conservation of energy eq. (37) can be rewritten as

\[
\phi \rho \frac{D c}{D t} + (1 - \phi) \rho \frac{D T}{D t} - \beta \frac{D P}{D t} = Q + \nabla \cdot \varphi' + \sum_j \left( \nabla \cdot J'_j \cdot \rho'_j + \frac{\nabla \cdot J'_j}{\rho'_j} \right).
\]

(51)

where again the derivatives of composition have been removed using conservation of components (9), (10). \(\varphi'\) is an alternative definition of heat flux, related to the original fluxes by

\[
\varphi' = \varphi - \sum_j J'_j h'_j + J'_j h'_j.
\]

(52)

The temperature eq. (51) can also be written in terms of averaged quantities,

\[
\frac{\partial T}{\partial t} = \frac{\nabla \cdot \varphi}{\rho \nabla \cdot \varphi} + \frac{\nabla \cdot \varphi}{\rho \nabla \cdot \varphi} - T \frac{\partial P}{\partial t} - T \frac{\nabla \cdot \varphi}{\rho \nabla \cdot \varphi}.
\]

(53)

where \(L' = -\Delta h' = h'_j - h'_j\) is the latent heat (enthalpy of fusion) for melting of component \(j\) and the overbars represent averages as

\[
\overline{\rho C} = \phi \rho_C + (1 - \phi) \rho s C_s,
\]

(54)

\[
\overline{\rho C \nabla} = \phi \rho_C \nabla + (1 - \phi) \rho_s C_s \nabla,
\]

(55)

\[
\overline{\alpha} = \phi \alpha + (1 - \phi) \alpha_s,
\]

(56)

\[
\overline{\alpha \varphi} = \phi \alpha \varphi + (1 - \phi) \alpha_s \varphi.
\]

(57)

The temperature eq. (53) closely resembles the standard temperature equation for single phase flow. The two phase nature of the flow appears in the averaging of specific heat capacities, thermal expansivities and velocities and through the latent heat term. The multicomponent nature of the flow appears in the terms involving diffusive fluxes of components, the different latent heats for the different components and the potential for composition dependent properties.

### 7 Chemical Potentials

To have a full description of the thermodynamics, we need to relate chemical potentials to temperature, pressure and composition. It is an unfortunate fact that when working with chemical potentials we have to deal with both mass fractions (denoted by \(c'_j\)) and mole fractions (denoted by \(x'_j\)). It is straightforward to convert between the two sets of variables:

\[
c'_j = \frac{M'_j}{M} x'_j, \quad x'_j = \frac{M'}{M'} c'_j.
\]

(58)

where \(M\)' are the molar masses of each component (kg mol\(^{-1}\)) and \(M\) is the mean molar mass, given by

\[
M = \sum_j \frac{M'_j}{x'_j} = \left( \sum_j \frac{c'_j}{M'} \right)^{-1}.
\]

(59)

Another way of expressing these relationships is by

\[
c'_j = \{M' x'_j\}, \quad x'_j = \{c'/M'\},
\]

(60)

where \(\{\}\) refers to normalising to unit sum, \(\{a'/\} = a'/\sum a'\).

The chemical potentials are related to temperature, pressure and composition by the standard relationships (Spear 1993; Anderson 2005)

\[
\mu'_j = \mu'_j (P, T) + R T \log \left( \frac{y'_j}{x'_j} \right),
\]

(61)

\[
\mu'_j = \mu'_j (P, T) + R T \log \left( \frac{y'_j}{x'_j} \right),
\]

(62)

where \(y'_j\) are activity coefficients and \(x'_j\) are molar concentrations. The activity model used here \(\langle y'_j/x'_j \rangle\) is a non-ideal one-site substitution model suitable for minerals such as olivine: for activity models for more complex assemblages the reader is encouraged to consult the textbooks (e.g. Chapter 7 of Spear 1993). The activity coefficients \(y' = 1\) for an ideal solution and \(v\) is the number of lattice sites per formula unit (e.g. \(v = 2\) for olivine \((\text{MgFe})_2\text{SiO}_4\)). \(R'\) is the specific gas constant for component \(j\), \(R' = \tilde{R}/M'\), where \(\tilde{R}\) is the universal gas constant (\(\tilde{R} = 8.314472 \text{ J K}^{-1} \text{ mol}^{-1}\)) and \(M'\) is the molar mass. This is consistent with the earlier definition of the chemical potential as being per unit mass (the units of \(\mu\) are \(J \text{ kg}^{-1}\)). The chemical potentials per mole are given by \(\mu'_j = \mu'_j (P, T)\), \(\mu'_j = \mu'_j M'/\) (with units J mol\(^{-1}\)).

The differences in chemical potentials, \(\Delta \mu'_j = \mu'_j - \mu'_j\) satisfy

\[
\Delta \mu'_j = -R' T \log K'_j + R' T \log Q'_j,
\]

(63)

where \(K'_j\) are the equilibrium constants, functions only of temperature and pressure, defined by

\[
-R' T \log K'_j = \mu'_j - \mu'_j
\]

(64)

and \(Q'_j\) are the activity ratios, defined by

\[
Q'_j = \left( \frac{y'_j}{x'_j} \right)^{y'_j}.
\]

(65)
can be rewritten as
\[
Q_j^i / K_j^i = \exp\left(\frac{\Delta \mu_j^i}{R/T}\right).
\]

In equilibrium $\Delta \mu_j^i = 0$ and $Q_j^i = K_j^i$.

It is often more desirable to work with concentration ratios rather than activity ratios. If we define $K_j^i$ and $Q_j^i$ by
\[
K_j^i = \frac{y_j^i}{y_s^i} (K_j^i)^{1/\nu}, \quad Q_j^i = \frac{x_j^i}{x_s^i},
\]
where $Q_j^i$ is a molar concentration ratio, then $Q_j^i = K_j^i$ in equilibrium.

We can relate $Q_j^i$ and $K_j^i$ to the chemical potential differences by
\[
Q_j^i = \left(\frac{Q_j^i}{K_j^i}\right)^{1/\nu} = \exp\left(\frac{\Delta \mu_j^i}{\nu R/T}\right).
\]

**7.1 Solidus and liquidus surfaces**

Since $Q_j^i = K_j^i$ in equilibrium, the equilibrium molar compositions $x_j^{eq}$ and $x_j^{f(eq)}$ satisfy
\[
x_j^{eq} = K_j^i x_j^{f(eq)}, \quad \sum x_j^{eq} = 1, \quad \sum x_j^{f(eq)} = 1.
\]

The permissible values of $x_j^{eq}$ and $x_j^{f(eq)}$ describe the solidus and liquidus surfaces, respectively.

If $K_j$ is a function only of temperature and pressure (as is the case for an ideal solution and will be assumed from here on), the two surfaces can be described separately as follows. The solidus is given by those molar compositions $x_j^{eq}$ satisfying
\[
\sum x_j^{eq} = 1, \quad \sum x_j^{f(eq)} = 1
\]
and the liquidus is given by those molar compositions $x_j^{f(eq)}$ satisfying
\[
\sum K_j^i x_j^{f(eq)} = 1, \quad \sum x_j^{f(eq)} = 1.
\]

Eqs (70) and (71) embody the Gibbs’ phase rule: For a two-phase $n$-component system the phase rule states that there are $n$ thermodynamic degrees of freedom in equilibrium. The $n$ degrees of freedom could be $T, P$ and $n - 2$ of the components of $x_j^i$. In a binary system $n = 2$ and the equilibrium compositions can be completely specified by $T$ and $P$. The solidus is given by (70),
\[
x_j^{eq}(P, T) = \frac{x_j^{eq}}{K_j^i(P, T)}, \quad \sum x_j^{eq} = 1, \quad \sum x_j^{f(eq)} = 1
\]
and the liquidus by (71),
\[
K_j^i(P, T) x_j^{f(eq)} = 1, \quad x_j^{eq}(P, T) + x_j^{f(eq)} = 1.
\]

In each case there are two simultaneous equations for two unknowns, which can be solved uniquely. These expressions are used to calculate the solidus and liquidus surfaces for olivine in Fig. 1.

**7.2 Temperature and pressure dependence of equilibrium constants**

The temperature and pressure dependence of the equilibrium constants $K_j^i(P, T)$ need to be prescribed. The van’t Hoff equation describes the temperature dependence
\[
\frac{\partial \log K_j^i}{\partial T} = \frac{\Delta h_j^i}{R^2},
\]
\[
\frac{\partial \log K_j^i}{\partial P} = -\frac{\Delta \mu_j^i}{R T}.
\]

It is helpful to look at a simplified form of these dependencies. For example, if we assume that $\Delta h_j^i$ is independent of temperature and pressure, then a suitable approximate expression is (Bradley 1962)
\[
\log K_j^i = -\frac{\Delta h_j^i}{R T} \left(1 - \frac{P}{P_m(P)}\right).
\]

$T_m(P)$ is the melting temperature of the pure component as a function of pressure. Recall that $\Delta h_j^i = -L_j$, where $L_j$ is the latent heat. In terms of $K_j^i$, (76) can be rewritten as
\[
\log K_j^i = \log \left(\frac{y_j^i}{y_s^i}\right) - \frac{\Delta h_j^i}{R T} \left(1 - \frac{P}{P_m(P)}\right).
\]

The function $T_m(P)$ satisfies the Clapeyron equation,
\[
\frac{dT_m}{dP} = \frac{T_m(1/\rho')} {\Delta h_j^i},
\]
which could formally be integrated to determine $T_m(P)$. However, it is often easier to use an approximate parameterised form for $T_m(P)$, such as Simon’s equation,
\[
T_m(P) = T_{m0} \left(1 + \frac{P}{a}\right)^{b'},
\]
for some coefficients $a'$ and $b'$. This is the approach taken here.

**8 PHENOMENOLOGICAL LAWS FOR INTERPHASE MASS TRANSFER**

To complete the governing equations, phenomenological laws are required describing the fluxes of mass and heat. In this section, we focus on the phenomenological laws for interphase mass transfer and the corresponding discussion for intraphase vector fluxes can be found in Section B. The simplest closure is to assume local thermodynamic equilibrium (e.g. Ribe 1995a; Hewitt & Fowler 2008; Katz 2008; Tirone et al. 2009). This adds $n$ algebraic equations to the system, for example, of the form $x_j^i = K_j^i x_j^{f(eq)}$ (see 69) and constrains the solid and liquid to lie on the solidus and liquidus on the phase diagram. The interphase mass transfers are then implicitly determined. However, in this work we are interested in disequilibrium effects and thus do not assume local thermodynamic equilibrium.

According to the theory of non-equilibrium thermodynamics (de Groot & Mazur 1984), linear phenomenological laws can be obtained by examining the expression for entropy production. For example, the part of the entropy production due to transfer of components between phases is given in (42) as
\[
\sigma = \sum_j \Gamma_j \frac{\Delta \mu_j^i}{T}.
\]

This expression defines a natural set of conjugate thermodynamic forces ($\Delta \mu_j^i / T$) and fluxes ($\Gamma_j$) and suggests linear phenomenological laws of the form
\[
\Gamma_j = \sum_i E_{ij} \frac{\Delta \mu_j^i}{T} = \sum_i E_{ij} v_i R^k \log \left(\frac{Q_j^i}{K_j^i}\right)^{1/\nu},
\]
\[ © 2010 The Authors, GJI Geophysical Journal International © 2010 RAS]
Disequilibrium multicomponent mantle melting

Figure 1. Examples of isobaric disequilibrium melting of olivine. Each panel is plot of temperature $T$ against composition $c$. The grey lines in the background show the olivine binary phase loop (the equilibrium solidus and liquidus curves). $c = 1$ is pure forsterite, $c = 0$ is pure fayalite. The dotted line indicates the bulk composition, $c = 0.41$. Red curves show the solid composition, blue curves show the liquid composition. Each panel is for a particular style of melting (either type I or type II) for the range of Damk"ohler numbers indicated. In each case, dark shading indicates small Damk"ohler number, light shading indicates large Damkohler number. (a) and (b) are examples of type I melting, (c) and (d) are examples of type II melting and (e) and (f) are the same for both type I and type II melting (see discussion in text).

For some matrix of coefficients $E^{jk}$. Onsager's reciprocal relations state that $E^{jk}$ is a symmetric matrix, $E^{jk} = E^{kj}$ and the second law ensures that $E^{jk}$ is positive semi-definite. More precisely, the theory states that any scalar flux can depend on any scalar force in the entropy production and this could include a dependence of $\Gamma^j$ on velocity field divergences. However, we will neglect such a dependence here and assume the interphase mass transfers only depend on differences in chemical potential (see Sramek et al. 2007, for further discussion).

Far from equilibrium, linear laws may be a poor description of the kinetics. Indeed, this is well known in the context of chemical reactions, where the law of mass action (based on products of activities) is generally a more useful phenomenological law far from equilibrium than linear laws based on the affinities (differences in chemical potential). This motivates the exploration of laws for interphase mass transfer that are non-linear in the chemical potential differences, but that must still satisfy the constraints of non-equilibrium thermodynamics near equilibrium.

Here we propose a simple non-linear law for interphase mass transfer that can be thought of as a generalisation of fractional melting. We introduce the law first and then explain why it may be reasonable. The law we propose is

$$\Gamma^j = \sum_k E^{jk} Z^k,$$

(82)

$$Z^k = \nu R^k \left(1 - \left(\frac{K^k}{Q^k}\right)^{1/\nu}\right) = \nu R^k \left(1 - \frac{K^k x^f}{x^s}\right).$$

(83)

which is very similar to (81). Again $E^{jk}$ is a matrix of coefficients, which we will assume is symmetric and positive semi-definite (see Subsection 8.3 for further discussion of the second law). $Z^k$ are the thermodynamic forces which are now given by a non-linear law in terms of the equilibrium constants $K^k$ and activity ratios $Q^k$. The dependence on the ratio $K^k/Q^k$ is found in many kinetic theories, such as transition state theory (Anderson 2005; Lasaga 1998). Near equilibrium this non-linear phenomenological law reduces to the linear law of (81), as $Z^k \approx \Delta \mu^k / T$ near equilibrium.
To make the link with fractional melting, it is useful to express the fluxes in a different way, separating out the mass flux associated with phase change ($\Gamma$) from the fluxes of components that occur without phase change (Baker & Cahn 1971; Caroli et al. 1986; Hillert 2006). Key to this alternative representation is the fact that the symmetric positive semi-definite matrix $E^{jk}$ can be uniquely decomposed as

$$E^{jk} = \lambda c_j c_k + G^{jk},$$

(84)

where $\lambda c_j$ is a well-defined composition (i.e. it has unit sum and positive entries) and $G^{jk}$ is a positive semi-definite matrix with zero row and column sum. The subscripts $\Gamma$ on $\lambda$ and $c^\Gamma_j$ are to emphasise their association with the phase change, which is clearer when the phenomenological laws (82) and (83) are rewritten using (84) as

$$\Gamma_j = \Gamma c^\Gamma_j + J_j,$$

(85)

$$\Gamma = \lambda \Gamma \sum_k c^\Gamma_k Z^k,$$

(86)

$$J_j = \sum_k G^{jk} Z^k.$$  

(87)

Eq. (85) splits the mass flux of component $j$ into two parts: a part that occurs due to phase change ($\Gamma c^\Gamma_j$, since $\sum_k \Gamma c^\Gamma_k = \Gamma$) and a part that occurs without phase change ($J_j$, since $\sum_j J_j = 0$). The flux due to phase change is controlled by the coefficients $\lambda$ (a rate constant for phase change) and $c^\Gamma_j$, whereas the flux of components that occurs without phase change is controlled by the coefficients $G^{jk}$ since $\sum_j \sum_k G^{jk} Z^k = 0$. This decomposition into fluxes with and without phase change is useful because the different mass fluxes may be controlled by quite different physical mechanisms and thus occur at different kinetic rates.

Whether written as (82) or (85)–(87), there remains a set of $n(n+1)/2$ coefficients to be prescribed, either in the form of the $n(n + 1)/2$ independent entries of the matrix $E^{jk}$ or as $\lambda$, the $n-1$ independent entries of $c^\Gamma_j$ and the $n(n - 1)/2$ independent entries of $G^{jk}$. Either set can be specified and the other set is then determined. In this work, we will focus on two natural choices for the composition $c^\Gamma_j$ and will refer to the two laws as type I and type II melting. One of these laws generalises melting laws which leave the solid composition unchanged (type I melting) and the other generalises fractional melting (type II melting).

### 8.1 Fractional melting (Type II melting)

During fractional melting the solid remains on the solidus and each infinitesimal increment of melt produced is in equilibrium with the solid. Once the infinitesimal melt has formed it is chemically isolated from the solid and no further chemical exchange occurs (although heat is still exchanged as thermal equilibrium is assumed). We will refer to this style of fractional melting as thermally equilibrated fractional melting (which differs from the incrementally isentropic fractional melting described by Asimow et al. (1997) and Stolper & Asimow (2007), which does not involve thermal equilibration).

The laws for fractional melting can be written as (Spiegelman & Elliott 1993; Spiegelman 1996)

$$\sum_j x_j/\sigma_j = 1,$$

(88)

where (88) constrains the solid to lie on the solidus and (89) states that the melt produced is in equilibrium with the solid. $x_j$ represents the molar concentrations of the infinitesimal melt produced and $c_j^\Gamma$ the corresponding mass concentrations ($x_j$ and $c_j^\Gamma$ are related by (88)). In (89) phase change is the only form of mass transfer; comparison with (85) shows that the $J_j$ (the fluxes of components without phase change) are all zero.

We would like to relate the laws given by (88)–(89) to those of (85)–(87). It is clear that to reproduce fractional melting the coefficients $G^{jk}$ should be zero, but is less clear how the coefficients $\lambda$ and $c^\Gamma_j$ should be chosen. Eq. (89) motivates choosing the composition associated with phase change as

$$x_j^\Gamma = \left\{ x_j/\sigma_j \right\}$$

(90)

where $\cdot$ again refers to normalising to unit sum. Note that normalisation is required, since $x_j/\sigma_j$ is not guaranteed to be a valid composition (i.e. sum to 1) as the solid is not necessarily constrained to the solidus for general disequilibrium phenomenological laws. The phenomenological law describing phase change (86) and (83) becomes

$$\Gamma = \lambda \Gamma \sum_j c^\Gamma_j R^k \left( 1 - \frac{K_j^\Gamma x_j^\Gamma}{x_j^\Gamma} \right)$$

(91)

$$\propto \lambda \Gamma \sum_j x_j^\Gamma/\sigma_j \left[ \left( \sum_k x_k/\sigma_k \right) - 1 \right]$$

(92)

and thus the constraint that the solid lies on the solidus is recovered as $\lambda \Gamma \to \infty$. Hence fractional melting is recovered with the coefficient choice of $\lambda \Gamma \to \infty$, $G^{jk} = 0$ and $x_j^\Gamma = \left\{ x_j/\sigma_j \right\}$. Indeed, the main reason for choosing the particular non-linear form in (83) is to exactly recover the solidus constraint, which is only approximately recovered with the linear law. We will refer to any melting law which has $x_j^\Gamma = \left\{ x_j/\sigma_j \right\}$ as type II melting. A similar generalisation can be made for fractional crystallisation and is discussed in Section C.

### 8.2 Solid invariant melting (Type I melting)

Another natural choice for the composition $x_j^\Gamma$ is the solid composition itself, $x_j^\Gamma = x_j^\Gamma$, which we will refer to as type I melting. If the coefficients $G^{jk}$ are zero, then this style of melting keeps the solid composition fixed. If $\lambda \Gamma \to \infty$, this style of melting constrains the liquid to the liquidus.

The two styles of melting we consider here (type I and type II) are not the only styles of melting one could consider: they simply represent two natural choices for the composition associated with melting based on the solid composition. There is plenty of scope for further exploration of these laws.

### 8.3 The positivity of entropy production

The second law of thermodynamics demands that the entropy production $\sigma$ is positive. For a linear phenomenological law, such as that given by (81), it is straightforward to derive conditions on the
matrix of phenomenological coefficients $E^{ij}$ such that positive entropy production is assured. Since the entropy production (80) for a linear law is simply

$$\sigma = \frac{1}{T} \sum_j \sum_k E^{ij} \Delta \mu^j \Delta \mu^k,$$

(94)
a positive entropy production is assured for all $\Delta \mu^k$ if and only if $E^{ij}$ is a positive semi-definite matrix.

With non-linear phenomenological laws the situation is not so straightforward: even if $E^{ij}$ is a positive definite matrix, it is not necessarily the case that the resulting entropy production is positive and other constraints must be placed on the coefficients $E^{ij}$. For the melting laws given by (82) and (83), the entropy production (80) can be written in terms of chemical potentials as

$$\sigma = \sum_j \sum_k E^{ij} \left( 1 - \frac{\Delta \mu^j}{v R^i} \right) \frac{\Delta \mu^j}{T},$$

or more simply as

$$\sigma = \sum_j \sum_k E^{ij} \left( 1 - e^{-d^i} \right) d^j,$$

(96)

where $d^j = \Delta \mu^j / v R^i T$ and $E^{ij} = v^2 R^i R^k E^{ik}$. If (96) could be linearised $1 - e^{-d^i} \approx d^i$, then the entropy production is assured to be positive if $E^{ij}$ is a positive semi-definite matrix. But for the general non-linear law, having $E^{ij}$ be a positive semi-definite matrix is not enough to guarantee positive entropy production.

There are certain simple forms for $E^{ij}$ that will guarantee a positive entropy production for the non-linear law. For example, if $E^{ij}$ is diagonal, with positive entries on the diagonal, then the entropy production is positive because the function $f(x) = x(1 - e^{-x})$ is always positive. If $E^{ij} = \lambda c^i c^j$ for some composition $c^i$ and constant $\lambda > 0$, then the entropy production will be positive provided all the $d^i$ have the same sign, since the two quantities $\sum_j c^i d^j$ and $\sum_i c^i (1 - e^{-d^i})$ are then either both positive or both negative. Having all the $d^i$ the same sign is a common occurrence and is true for the example calculations throughout this work. For a binary system the entropy production is always positive for arbitrary positive semi-definite matrices $E^{ij}$ when the $d^i$ have the same sign, because matrices of the form in (97) always give a positive entropy production (recall the decomposition in 84). For more general cases, the positivity of the entropy production with this non-linear law can not be taken for granted. If the $d^i$ differ in sign the entropy production may go negative with the present non-linear law: this implies other non-linear laws are needed to describe such circumstances and must be developed.

9 ISOBARIC BINARY MELTING

As a concrete example in which to explore the behaviour of these phenomenological laws, we now consider the problem of isobaric melting of olivine. Our model olivine is a complete solid solution of two components, forsterite (Mg$_2$SiO$_4$) and fayalite (Fe$_2$SiO$_4$), with a simple binary loop phase diagram. For a binary system the matrix $G^{ik}$ has only one free parameter and takes the form

$$G^{ik} = \lambda J \left( \begin{array}{cc} 1 & -1 \\ -1 & 1 \end{array} \right),$$

(97)

where $\lambda J > 0$. In full, the phenomenological laws for melting are thus

$$Q^i = \frac{x_i}{x_f}, \quad Q^i = \frac{1 - x_i}{1 - x_f},$$

(98)

where $x_i$ and $x_f$ are the equilibrium concentrations of components $i$ and $f$, respectively. For the isobaric binary case, the phenomenological laws for melting are

$$K^1 = \exp \left( \frac{L^1}{v R^1} \left( \frac{1}{T} - \frac{1}{T_m^1(P)} \right) \right),$$

(99)

$$K^2 = \exp \left( \frac{L^2}{v R^2} \left( \frac{1}{T} - \frac{1}{T_m^2(P)} \right) \right),$$

(100)

$$T_m^1(P) = T_m^1 \left( 1 + \frac{P}{\rho_0} \right)^{1/\beta_1}, \quad T_m^2(P) = T_m^2 \left( 1 + \frac{P}{\rho_0} \right)^{1/\beta_2},$$

(101)

$$Z^1 = v R^1 \left( 1 - \frac{K^1}{Q^1} \right), \quad Z^2 = v R^2 \left( 1 - \frac{K^2}{Q^2} \right),$$

(102)

$$\Gamma = \lambda J \left( c^i Z^1 + (1 - c^i) Z^2 \right),$$

(103)

$$J = \lambda J \left( Z^2 - Z^1 \right),$$

(104)

$$\Gamma^1 = c^i \Gamma - J,$$

(105)

$$\Gamma^2 = (1 - c^i) \Gamma + J,$$

(106)

where the composition variable $x$ refers to the molar concentration of component 1 (forsterite): the molar concentration of component 2 (fayalite) is $1 - x$. Numerical values for model olivine parameters can be found in Table 1. Note that the kinetic rates $\lambda_1$, $\lambda_J > 0$ to satisfy the second law, $\lambda_1$ and $\lambda_J$ could depend on many variables such as temperature or porosity, but for simplicity, here $\lambda_1$ and $\lambda_J$ will be assumed constant.

For type I melting,

$$x_\Gamma = x_i,$$

(107)

whereas for type II melting,

$$x_\Gamma = \frac{x_i/K^1}{x_f/K^1 + (1 - x_f)/K^2}.$$

(108)

Consider heating a piece of olivine at fixed pressure such that its temperature rises at a constant rate. Let $F$ be the mass fraction of melt (initially zero). The evolution of $F$ and composition $c_f$ are described by

$$\frac{dF}{dt} = \frac{\Gamma}{\rho_0},$$

(109)

$$\frac{d(c_f)}{dt} = \frac{\Gamma^1}{\rho_0},$$

(110)

where $\rho_0$ is the initial density of the unmelted solid. In non-dimensional form this simple system can be described in terms of temperature as

$$\frac{dF}{dT} = \Gamma,$$

(111)

$$\frac{d(c_f)}{dT} = \Gamma^1,$$

(112)

$$Z^1 = 1 - \frac{K^1}{Q^1}, \quad Z^2 = \frac{R^2}{R^1} \left( 1 - \frac{K^2}{Q^2} \right),$$

(113)

$$\Gamma = D\tau (c^i Z^1 + (1 - c^i) Z^2),$$

(114)

$$J = D\lambda J (Z^2 - Z^1),$$

(115)
The behaviour of this simple system is determined by two non-dimensional Damköhler numbers, \( \Gamma_1 \) and \( \Gamma_1' \). The mass exchanges \( \Gamma_1 = \Gamma_0 \Delta t / (\Delta T) \) and \( \Gamma_1' = \Gamma_0 \Delta t / (\Delta T) \), where \( \Delta T / \Delta t \) is the (assumed constant) rate of temperature increase. The behaviour of this simple system is determined by two non-dimensional Damköhler numbers, \( \Gamma_1 \) and \( \Gamma_1' \), which are ratios of the kinetic rates to the rate at which the temperature increases.

Fig. 1 gives examples of the different styles of disequilibrium melting that occur as the two Damköhler numbers are varied for the two types of melting. If both Damköhler numbers are large, \( \Gamma_1 \Gamma_1' \) is close to crossing the liquidus. The solid remains invariant as \( \Gamma_1 \Gamma_1' \) implies that there is little melting until the bulk composition comes close to crossing the liquidus. The solid remains invariant as \( \Gamma_1 \Gamma_1' \) is close to crossing the liquidus. The solid remains invariant as \( \Gamma_1 \Gamma_1' \) implies that there is little melting until the bulk composition comes close to crossing the liquidus.

\[
\Gamma_1 = \frac{c_1 \Gamma - J}{J}, \tag{116}
\]

where the temperature has been non-dimensionalised by a typical temperature scale \( \Delta T \), taken here to be the difference between the melting points of the two components \( \Delta T = T_m^1 - T_m^2 \). The mass exchanges \( \Gamma_1 \) and \( \Gamma_1' \) are non-dimensionalised on \( \Delta T / (\rho_0 \Delta T / \Delta t) \), where \( \Delta T / \Delta t \) is the (assumed constant) rate of temperature increase. The behaviour of this simple system is determined by two non-dimensional Damköhler numbers, \( \Gamma_1 \) and \( \Gamma_1' \), which are ratios of the kinetic rates to the rate at which the temperature increases.

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Fig. 1(a) shows the effect of reducing \( \Gamma_1' \) while keeping \( \Gamma_1 \) large for type I melting. Since \( \Gamma_1 \Gamma_1' \) is large the liquid composition remains on the liquidus (the constraint imposed by type I melting). But the solid composition deviates the solidus: in the end-member case of \( \Gamma_1' = 0 \) (dark shading), the solid composition is invariant with changing temperature (since \( \Gamma_1' = \Gamma_0 c_1' \) in this limit). With small \( \Gamma_1' \) and large \( \Gamma_1 \), the lever rule (conservation of mass) implies that there is little melting until the bulk composition comes close to crossing the liquidus.

Fig. 1(b) shows the effect of then reducing \( \Gamma_1 \) while keeping \( \Gamma_1' \) large for type I melting. With \( \Gamma_1 \) large, the situation is the same as seen in Fig. 1a with the solid invariant and the liquid on the liquidus. The solid remains invariant as \( \Gamma_1 \) is varied (since \( \Gamma_1' = \Gamma_0 c_1' \) and for the end member case of \( \Gamma_1' = \Gamma_1 \) there is no mass transfer whatsoever and both liquid and solid composition remain invariant with increasing temperature.

\[
\Gamma_1 = \frac{\lambda_{11} R_1^1 \Delta T}{\rho_0 (\Delta T / \Delta t)} \quad \Gamma_1' = \frac{\lambda_{12} R_1^2 \Delta T}{\rho_0 (\Delta T / \Delta t)}, \tag{117}
\]

which are ratios of the kinetic rates to the rate at which the temperature increases.

Table 1. Approximate parameters for the forsterite–fayalite binary system, (MgFe)2SiO4. There are two atoms of (Mg,Fe) per formula unit and thus \( v = 2 \). The latent heats are chosen to match the phase diagram using the parametrisation of Bradley (1962), although here the specific heat capacities are assumed identical for solid and liquid. The melting temperature as a function of temperature has been parametrised by Simon’s equation. Thermal expansion coefficients are assumed identical for the two components.

<table>
<thead>
<tr>
<th>Formula</th>
<th>( j = 1 ): Forsterite</th>
<th>( j = 2 ): Fayalite</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>( L_j )</td>
<td>( 8.71 \times 10^3 ) J kg(^{-1} )</td>
<td>( 5.17 \times 10^3 ) J kg(^{-1} )</td>
<td>Bradley (1962)</td>
</tr>
<tr>
<td>Melting temperature</td>
<td>( 2163 ) K</td>
<td>( 1478 ) K</td>
<td></td>
</tr>
<tr>
<td>Simon’s equation coefficient</td>
<td>( a_j )</td>
<td>10.83 GPa</td>
<td>15.78 GPa</td>
</tr>
<tr>
<td>Simon’s equation coefficient</td>
<td>( b_j )</td>
<td>3.70</td>
<td>1.59</td>
</tr>
<tr>
<td>Molar mass</td>
<td>( M_j )</td>
<td>0.1406934 kg mol(^{-1} )</td>
<td>0.203736 kg mol(^{-1} )</td>
</tr>
<tr>
<td>Specific gas constant</td>
<td>( R_j )</td>
<td>59.096 J K(^{-1} ) kg(^{-1} )</td>
<td>40.803 J K(^{-1} ) kg(^{-1} )</td>
</tr>
<tr>
<td>Solid density</td>
<td>( \rho_{s,j} )</td>
<td>3222 kg m(^{-3} )</td>
<td>4392 kg m(^{-3} )</td>
</tr>
<tr>
<td>Melt density</td>
<td>( \rho_{f,j} )</td>
<td>3053 kg m(^{-3} )</td>
<td>4026 kg m(^{-3} )</td>
</tr>
<tr>
<td>Solid thermal expansion coefficient</td>
<td>( \alpha_j )</td>
<td>2.6 \times 10(^{-5} ) K(^{-1} )</td>
<td>2.6 \times 10(^{-5} ) K(^{-1} )</td>
</tr>
<tr>
<td>Melt thermal expansion coefficient</td>
<td>( \alpha_f )</td>
<td>4.8 \times 10(^{-5} ) K(^{-1} )</td>
<td>4.8 \times 10(^{-5} ) K(^{-1} )</td>
</tr>
<tr>
<td>Specific heat capacity</td>
<td>( c_j )</td>
<td>1.3 \times 10^3 J K(^{-1} ) kg(^{-1} )</td>
<td>1.3 \times 10^3 J K(^{-1} ) kg(^{-1} )</td>
</tr>
</tbody>
</table>

Fig. 1(c) is perhaps the most important of the panels in Fig. 1 and demonstrates the transition from batch to fractional melting, showing type II melting for large \( \Gamma_1 \) and a range of \( \Gamma_1' \). The large \( \Gamma_1 \) constrains the solid to the solidus (the constraint imposed by type II melting), but the liquid composition deviates from the liquidus as \( \Gamma_1' \) is reduced. The end member case of \( \Gamma_1' = 0 \) (dark shading) is essentially the fractional melting path (e.g. Maaloe 1984). The corresponding melt production for this case is shown in Fig. 2, which can be determined from Fig. 1(c) using the lever rule.
Fig. 1(d) shows the effect of then reducing $\text{Da}_f$, while keeping $\text{Da}_g = 0$ for type II melting. With $\text{Da}_f$ large, the situation is the same as seen in Fig. 1(a) with the solid on the solidus and the liquid following the fractional melting path. Similar to Fig. 1(b), for the end member case of $\text{Da}_f = \text{Da}_g = 0$ (dark shading) there is no mass transfer whatsoever and both liquid and solid composition remain invariant with increasing temperature.

Figs 1(c) and (f) demonstrate situations that are essentially independent of the choice of $c_f$, and thus the same for both type I and type II melting. Fig. 1e has $\text{Da}_g$ large and thus there is the approximate constraint that $Z^1 = Z^2$ and hence $\Gamma^1 = \lambda_f Z^1$, independent of the choice of $c_f$. With $\text{Da}_g$ large the situation is essentially batch melting, while the other end member of $\text{Da}_f = 0$ (dark shading) has no melting, although the solid and liquid can still exchange components without phase change. Since the initial melt fraction is exceedingly small ($F = 10^{-10}$) the solid composition is buffered and is invariant as the temperature is increased, while the liquid composition undergoes a notable shift.

Fig. 1(f) has $\text{Da}_g = 0$ and thus no melting ($\Gamma = 0$). Hence $\Gamma^1 = -\Gamma^2 = \lambda_f (Z^2 - Z^1)$ independent of the choice of $c_f$. For large $\text{Da}_g$ the situation is the same as that seen in Fig. 1(e), with an invariant solid composition and a shifting liquid composition. For the other end member case of $\text{Da}_f = \text{Da}_g = 0$ (dark shading) there is once again no mass transfer whatsoever, with both liquid and solid composition remaining invariant with increasing temperature.

10 1-D BINARY MELTING COLUMN

One of the simplest problems combining compaction and melting is the 1-D steady state melting column. The problem was first addressed using the McKenzie (1984) equations by Ribe (1985a) and has since been studied by many other authors (Asimow & Stolper 1999; Spiegelman & Elliott 1993; Sramek et al. 2007). Here we investigate the effects of disequilibrium on this problem. Again we consider melting of pure $\text{Ol}_1$ and $\text{Ol}_2$ and $\text{Ol}_3$ has since been studied by many other authors (Asimow & Stolper 1999). On summing (118) and (119) and integrating, we have

$$
\phi \rho_f v_f + (1 - \phi) \rho_s v_s = \rho_0 v_0.
$$

(128)

Similarly, from (120) and (121),

$$
\phi \rho_f c_f v_f + (1 - \phi) \rho_s c_s v_s = \rho_0 c_0 v_0.
$$

(129)

The governing eqs (118)–(127) can be simplified by introducing the degree of melting $F$, which can be defined as a ratio of melt flux to incoming mass flux,

$$
F = \frac{\Phi \rho_f v_f}{\rho_0 v_0}.
$$

(130)

where $F = 0$ at the onset of melting and $F = 1$ once melting is complete. It follows from (128)–(130) that

$$
F c_f + (1 - F) c_s = c_0.
$$

(131)

In the case of equilibrium melting, the conservation of energy equation implies that total entropy flux is conserved along the column and a similar equation to (131) can be written for entropy (i.e. $F s_f + (1 - F) s_s = s_0$). The isentropic nature of the equilibrium process simplifies the analysis greatly (Asimow et al. 1997; Asimow & Stolper 1999; Stolper & Asimow 2007). However, when there is disequilibrium between the two phases, there is the potential for entropy production and we cannot make this simplification here.

In terms of $F$, the governing eqs (118)–(127) are

$$
\frac{dF}{dz} = \frac{\Gamma}{\rho_0 v_0}.
$$

(132)
\[ \frac{dm}{dz} = \frac{\Gamma^1}{\rho_0 v_0}, \quad (133) \]

\[ \frac{dP}{dz} = -\bar{\rho} g - \frac{d\bar{P}}{dz}, \quad (134) \]

\[ \frac{d\bar{P}}{dz} = \frac{\mu}{k_0} q - (1 - \phi) \Delta \rho g, \quad (135) \]

\[ \frac{dv_\phi}{dz} = -\frac{\bar{P}}{\zeta_{\phi} + \frac{2}{3} \eta_{\phi}}, \quad (136) \]

\[ (FC_f + (1 - F)C_i) \frac{dT}{dz} = T \left( \frac{\alpha_f}{\rho_f} + (1 - F) \frac{\alpha_\phi}{\rho_\phi} \right) \frac{dP}{dz} = \frac{\Gamma^1 L^1}{\rho_0 v_0} - \frac{\Gamma^2 L^2}{\rho_0 v_0}, \quad (137) \]

where \( m = FC_f \) is the mass component 1 in the fluid phase. The notation \( q = \phi (v_f - v_\phi) \) is used to signify the Darcy flux. Conservation of mass (128)–(131) implies

\[ v_f = \frac{\rho_f v_0 (1 - F)}{\rho_i (1 - \phi)}, \quad q = F \frac{\rho_f v_0}{\rho_i} + (1 - F) \frac{\rho_\phi v_\phi}{\rho_i} - v_\phi, \quad (138) \]

\[ c_f = \frac{m}{F}, \quad c_i = \frac{c_0 - m}{1 - F}. \quad (139) \]

Formally the densities \( \rho_i \) and \( \rho_f \) could vary as a function of temperature, pressure and composition. In practice, these variations are slight (i.e. much less than the difference in density between the two phases) and can be neglected with the exception of the adiabatic term in the energy equation. Thus we approximate \( \rho_i \) and \( \rho_f \) as constants in the equations to follow. Since we assume initial zero porosity, \( \rho_0 = \rho_i \). We will assume that the specific heat capacities of solid and fluid are constant and equal and thus on the left hand side of (137), \( FC_f + (1 - F)C_i = C \).

### 10.1 Non-dimensionalisation

For numerical solution and further analysis it is helpful to non-dimensionalise the equations. If \( \Delta z \) is a typical length scale (e.g. the height of the melting column) and \( \Delta T \) is a typical temperature scale (e.g. the difference in melting temperatures \( \Delta T = T_{m1} - T_{m2} \)), then we can non-dimensionalise as \( z = z' \Delta z, \quad T = T' \Delta T, \quad P = P' \rho_i g \Delta z, \quad \bar{P} = \bar{P}' (\zeta_0 + \frac{2}{3} \eta_0) v_0 / \Delta z, \quad \Gamma = \Gamma' \rho_i v_0 / \Delta z, \quad V = V' v_0, \quad q = q' v_0 \), etc. The following non-dimensional parameters control the behaviour of the system:

\[ A = \frac{\alpha_f g \Delta z}{C}, \quad B = \frac{k_0 \Delta \rho g}{\mu v_0}, \quad C = \frac{k_0 (\zeta_0 + \frac{2}{3} \eta_0)}{\mu (\Delta z)^2}, \quad (140) \]

\[ r_a = \frac{\alpha_f}{\alpha_i}, \quad r_p = \frac{\rho_f}{\rho_i}, \quad (141) \]

\[ R^1 = \frac{v R^1}{C}, \quad R^2 = \frac{v R^2}{C}, \quad (142) \]

\[ St^1 = \frac{L^1}{C \Delta T}, \quad St^2 = \frac{L^2}{C \Delta T}, \quad (143) \]

\[ Da_f = \frac{\lambda_f v R^1 \Delta z}{\rho_i v_0}, \quad Da_j = \frac{\lambda_j v R^1 \Delta z}{\rho_i v_0}. \quad (144) \]

\( A \) is an adiabatic parameter, which is the product of the adiabatic gradient and the column length scale (sometimes termed the disipation number). \( B \) is a buoyancy parameter, which is essentially a ratio of percolation velocity to upwelling velocity, although the \( \phi \) dependence is missing. \( C \) is a compaction parameter, a ratio of compaction length squared to column length squared. The inverse of \( C \) is sometimes referred to as a melt retention number (Tackley \\& Stevenson 1993). \( r_a \) is a ratio of expansivities and \( r_p \) a ratio of densities. \( R^1 \) and \( R^2 \) are ratios of specific gas constants to specific capacity. \( St_1 \) and \( St_2 \) are Stefan numbers, which are a ratio of latent heat to sensible heat for the two components. Finally, \( Da_f \) and \( Da_j \) are Damköhler numbers, which are a ratio of reaction rates to upwelling rates.

Values of the non-dimensional parameters used in the calculations are given in Table 2, based on dimensional parameters in Tables 1 and 3. The adiabatic parameter \( A \) is small, which justifies the neglect of the adiabatic term by some authors (Sramek et al. 2007). The buoyancy parameter \( B \) is very large which indicates that the melt velocities will be much larger than the mean upwelling velocity. The density ratio \( r_p \) is close to 1, which some authors exploit by making a Boussinesq approximation (Ribe 1985a), although we do not do this here.

The Damköhler numbers used in the calculations are designed to demonstrate the effects of disequilibrium rather than necessarily being realistic. The Damköhler numbers depend on the melting kinetics at small scales, which are poorly understood. A crude order of magnitude estimate can be obtained by assuming that the melting kinetics are controlled by diffusion into the solid grains. A typical estimate of the diffusion coefficient for Fe–Mg exchange in olivine is \( \sim 10^{-16} \text{ m}^2 \text{ s}^{-1} \) (Chakraborty 1997). For a typical grain size of 1 mm, this implies a kinetic rate of \( \sim 10^{-10} \text{ s}^{-1} \). For a 60 km melting column and an upwelling rate of 50 mm yr\(^{-1} \), this implies a Damköhler number \( \sim 4'000 \). This is large and suggests that the two phases should be close to equilibrium throughout the melting column. However, the disequilibrium calculations we perform use somewhat smaller Damköhler numbers so that the effects of disequilibrium can be shown.
The non-dimensional parameters \( A, B \) and \( C \) are related to the natural length scales

\[
\delta_u = \frac{C}{\alpha g} = A^{-1} \Delta z, \tag{145}
\]

\[
\delta_c = \sqrt{\frac{k_0 (\zeta + \frac{4}{3} \eta_0)}{\mu}} = \phi^{(n-m)/2} C^{1/2} \Delta z, \tag{146}
\]

\[
\delta_p = \sqrt{\frac{c_0 (\zeta + \frac{4}{3} \eta_0)}{\Delta \rho g}} = \phi^{-n/2} \left( \frac{C}{B} \right)^{1/2} \Delta z, \tag{147}
\]

where \( \delta_u \) is the adiabatic length, \( \delta_c \) is the compaction length (McKenzie 1984) and \( \delta_p \) is the reduced compaction length (Ribe 1985b).

In non-dimensional form, the governing equations are

\[
\frac{dF}{dz} = \Gamma, \tag{148}
\]

\[
\frac{dm}{dz} = \Gamma^1, \tag{149}
\]

\[
\frac{dP}{dz} = -1 + (1 - r_p) \phi - (1 - r_p) \frac{C}{B} \frac{d \hat{P}}{dz}, \tag{150}
\]

\[
\frac{d \hat{P}}{dz} = \frac{\phi^{-n} q - B}{C} (1 - \phi), \tag{151}
\]

\[
\frac{dv_j}{dz} = -\phi^m \hat{P}, \tag{152}
\]

\[
\frac{dT}{dz} = A \left( \frac{f}{r_p} F + 1 - F \right) T \frac{dP}{dz} - \Gamma^1 S_1 - \Gamma^2 S_2, \tag{153}
\]

with

\[
v = \frac{1 - F}{1 - \phi}, \quad q = \frac{F}{r_p} + 1 - F - v, \quad c_f = \frac{m}{F}, \quad c_s = \frac{c_0 - m}{1 - F}. \tag{154}
\]

At the onset of melting (say \( z = 0 \)) we have \( F = 0, m = 0, v = 1 \) and \( T \) and \( P \) at some given values on the solidus with composition \( c_0 \). At the surface (\( z = 1 \)) we have \( d \hat{P}/dz = 0 \). This is a two point boundary value problem. The governing equations are completed by the non-dimensional phenomenological laws for melting from (98)–(106),

\[
Q_x^{1} = \frac{x_s}{x_f}, \quad Q_x^{2} = \frac{1 - x_s}{1 - x_f}. \tag{155}
\]

\[
K_x^{1} = \exp \left( \frac{St^1}{R^1} \left( \frac{1}{F} - \frac{1}{T^0(1)} \right) \right), \tag{156}
\]

\[
K_x^{2} = \exp \left( \frac{St^2}{R^2} \left( \frac{1}{F} - \frac{1}{T^0(1)} \right) \right), \tag{157}
\]

\[
T^0_{w0}(P) = T^0_{w0}\left( 1 + \frac{P}{a^1} \right)^{1/b^1} , \quad T^0_{m0}(P) = T^0_{m0}\left( 1 + \frac{P}{a^2} \right)^{1/b^2}, \tag{158}
\]

\[
Z^1 = 1 - \frac{K_x^{1}}{Q_x}, \quad Z^2 = \frac{R^2}{R^1} \left( 1 - \frac{K_x^{2}}{Q_x} \right). \tag{159}
\]

\[\Gamma = Da_T \left( c_T Z^1 + (1 - c_T) Z^2 \right), \tag{160}\]

\[J = Da_T \left( Z^2 - Z^1 \right), \tag{161}\]

\[\Gamma^1 = c_T \Gamma - J, \tag{162}\]

\[\Gamma^2 = (1 - c_T) \Gamma + J. \tag{163}\]

\[x_{r} = \frac{x_{s}/K_{s}^{1} + (1 - x_{s})/K_{s}^{2}}{x_{s}/K_{s}^{1}}. \tag{164}\]

Here \( T_{w0}^{0} \) and \( T_{m0}^{0} \) are the non-dimensional melting temperatures and the Simon’s equation coefficients \( a^1 \) and \( a^2 \) are non-dimensionalised on the appropriate pressure scale.

### 10.2 Zero compaction length approximation

Perhaps the most important simplification to these equations that can be made is to assume \( C = 0 \), that is, zero compaction length (Ribe 1985a; Spiegelman 1993a,b) (termed the Darcy approximation by Sramek et al. 2007). Formally, this is a singular perturbation of these equations, and by setting \( C = 0 \) the compaction boundary layers are neglected. However, the problem is then a more straightforward initial value problem, with boundary conditions only specified at the onset of melting. Essentially, (150), (151) and (152) are replaced by

\[
\frac{dP}{dz} = -1 + (1 - r_p) \phi. \tag{165}\]

\[0 = \phi^{-n} q - B (1 - \phi). \tag{166}\]

Combining (166) with (154) leads to an algebraic equation for the porosity \( \phi \) (Ribe 1985a; Spiegelman & Elliott 1993)

\[B\phi^m (1 - F) + (1 - F) \phi - \frac{F}{r_p} (1 - \phi) = 0. \tag{167}\]

The zero compaction length approximation is the leading order outer solution for the full problem and is a good approximation for \( B \) sufficiently small and at points far away from the boundaries. This is essentially the approximation used in the original study of Ahern & Turcotte (1979). With this approximation, the disequilibrium only affects the porosity \( \phi \) through changes in the degree of melting \( F \).

The matrix is usually assumed sufficiently permeable that the porosity \( \phi \) remains small throughout the melting column (\( \phi \ll 1 \)). With small porosities, (165) and (166) can be approximated by

\[
\frac{dP}{dz} = -1, \tag{168}\]

\[q = B\phi^m, \tag{169}\]

that is, the fluid pressure is approximately lithostatic and the fluid is simply driven by its buoyancy. The 1-D melting column problem then simply consists of solving for \( T, F \) and \( m \) as a function of pressure \( P \). For large values of the Damköhler number the problem becomes stiff and a stiff ODE solver was used to calculate numerical solutions. Examples of such solutions are shown in Fig. 3. In the limit of infinite Damköhler number (equilibrium), the equations become differential-algebraic in nature, but are still amenable to solution by stiff ODE solvers.

Fig. 3 provides examples of near-equilibrium (\( Da_T = 10^4, Da_f = 10^4 \)) and near-fractional (\( Da_T = 10^4, Da_f = 0 \)) melting (dotted...
There is very little difference between the two cases, with near-fractional melting showing a slightly lower melt productivity \((-dF/dP)\) and lower temperature drop \((dT/dP)\) than near-equilibrium melting. In both cases, melt productivity with decreasing pressure has been discussed in some detail by Asimow et al. (1997), although it should be noted that here the latent heats are assumed constant while Asimow et al. (1997) have latent heats increasing with \(T\) (they assumed a constant entropy jump).

The difference between fractional and equilibrium melting is much less pronounced here than seen in other studies, such as those which consider incrementally isentropic fractional fusion (Asimow et al. 1997; Stolper & Asimow 2007). The thermally equilibrated fractional melting considered here is fundamentally different from incrementally isentropic fractional fusion because here the two phases are always assumed to be in thermal equilibrium and thus at the same temperature. In incrementally isentropic fractional fusion each increment of melt is produced in an isentropic step and then removed from the system. However, if each increment of melt were brought to surface pressures isentropically then each increment would be at a different temperature and thus not in thermal equilibrium with the other increments. Thus these two types of fractional melting will have slightly different melt productivities.

There is a small entropy production associated with the thermally equilibrated fractional melting considered here. Recall that the part of the entropy production due to interphase transfer is

\[
\sigma = \sum_j \gamma_j \frac{\Delta \mu_j}{T}.
\]

For fractional melting,

\[
\Gamma = \Gamma c'_f, \quad \sum_j c'_f \left( 1 - \exp \left( -\frac{\Delta \mu_j}{\nu R_f T} \right) \right) = 0 \quad (171)
\]

Expanding the sum for small values of \(\Delta \mu_j/\nu R_f T\), we have

\[
\sum_j c'_f \left( \frac{\Delta \mu_j}{T} - \frac{(\Delta \mu_j)^2}{\nu R_f T^2} + \cdots \right) = 0 \quad (172)
\]

and thus

\[
\sigma = \Gamma \sum_j c'_f \frac{\Delta \mu_j}{T} \approx \Gamma \sum_j c'_f \frac{\Delta \mu_j^2}{\nu R_f T^2} \approx \Gamma \sum_j \frac{\nu R_f c'_f}{K_f} \left( \frac{Q_f}{K_f} - 1 \right)^2 \quad (173)
\]

Since the two phases are not in chemical equilibrium for fractional melting, there is a chemical potential difference between the two phases and thus a positive entropy production according to the above expression. However, in practice this entropy production is very slight and the sum in the above is usually much less than the latent heat.

It should also be noted that in the case of a single component, incrementally isentropic fractional fusion differs from equilibrium melting, but thermally equilibrated fractional melting is identical to equilibrium melting. For a single component, if the two phases have the same thermodynamic pressure and the same temperature then they must be in thermodynamic equilibrium with one another. For two or more components the two phases can differ in chemical composition whilst having the same temperature and pressure and thus are not necessarily in equilibrium.
As the Damköhler numbers are reduced, the kinetics of melting becomes slower and the reactive boundary layers, which occur on the onset of melting, grow. An example of these boundary layers can be seen in Fig. 3 (solid lines). The structure of these boundary layers is fairly intuitive: The temperature gradient initially follows the solid adiabatic gradient and there is initially no melting. As the degree of disequilibrium grows and the kinetics get faster the temperature gradient transitions to that of equilibrium melting and the productivity transitions to the equilibrium melt productivity.

For the single component case, the reactive boundary layers can be treated analytically and this is done in Section D. The boundary layer thickness for a single component is given by

\[ l_r = \frac{\rho_s v_0 C_s T_0^2}{\lambda_1 L^2} = \frac{v R C_s T_0^2 \Delta z}{L^2 D_{\alpha r}}. \]  

(174)

where \( T_0 \) is the temperature at the onset of melting. The non-dimensional quantity \( v R C_s T_0^2 / L^2 \) is similar for both pure forsterite (0.95) and pure fayalite (0.87) (Table 1) and is close to 1. Thus for the binary case, an approximate boundary layer thickness of \( l_r \approx \Delta z / D_{\alpha r} \) is expected and indeed this is what is seen in Fig. 3 where \( D_{\alpha r} = 10 \): The pressure drop across the boundary layer is on the order of 1 GPa, which is a tenth of the total pressure drop over the column. It should be noted that the value of \( D_{\alpha r} = 10 \) in Fig. 3 is chosen simply to demonstrate the boundary layer structure; realistic Damköhler numbers are likely to be much larger.

10.3 Full numerical solution

Fig. 4 shows a full numerical solution of a 1-D column without the approximations of the previous section. To avoid the singularities at the onset of melting associated with zero porosities, initial conditions are chosen with a small initial degree of melting \( F_0 = 5 \times 10^{-5} \) with initial porosity \( \phi_0 \) and initial upwelling rate \( v_0 \) such that there is initially no separation of melt from residue that is, zero initial Darcy flux \( q_0 = 0 \). This is somewhat unphysical (Sramek et al. 2007), but is a simple way to remove the initial singularity. The zero compaction length solution is also shown on the figure (dotted line) and was used as an initial guess for the full numerical solver, which is a standard two point boundary value problem solver.

Similar to Fig. 3, Fig. 4 shows near-equilibrium \( (D_{\alpha r} = 50, D_{\alpha f} = 50) \) and near-fractional \( (D_{\alpha r} = 50, D_{\alpha f} = 0) \) scenarios. As in the earlier calculations, the differences between the two cases is slight. The most notable difference is in the fluid composition \( c_f \), as would be expected. Also as expected, the zero compaction length approximation is a good approximation for most of the melting column, with the exception of the bottom boundary. At the top of the melting column, the zero compaction length solution is exact, as a result of the free flux boundary condition applied there.

There is a reactive boundary layer at the onset of melting and this is visible in Fig. 4 in the build up of compaction pressure at the base of the column. From the Damköhler number, the expected reactive boundary layer thickness is around 1.2 km. The structure of the boundary layer can be seen more clearly in Fig. 5 which shows a zoomed in view of the first 5 km of the column. The zero compaction length approximation provides a reasonable estimate of the structure of the reactive boundary layer, but there are differences. The most notable difference is in the first 0.5 km, where there is a further inner boundary layer. The structure of this inner boundary layer is a result of the finite porosity, zero Darcy flux initial conditions used to avoid the initial singularity and is unphysical. Further asymptotic

---

**Figure 4.** 1-D binary melting column calculations. Blue line is near-equilibrium \( (D_{\alpha r} = 50, D_{\alpha f} = 50) \) and red line is near-fractional \( (D_{\alpha r} = 50, D_{\alpha f} = 0) \). Dotted line shows the zero compaction length approximation for the near equilibrium case. From left to right: temperature \( T \), fluid pressure \( P \), degree of melting \( F \), solid composition \( c_s \), fluid composition \( c_f \), porosity \( \phi \), solid velocity \( v_s \), fluid velocity \( v \), compaction pressure \( P_{\text{compact}} \). The difference between near-equilibrium and near-fractional is most notable in the fluid composition \( c_f \), whereas in the other variables there is little difference.
analysis is needed to explore the singularity at the onset of melting for finite kinetic rate, as was done for infinite kinetic rate by Sramek et al. (2007) and Hewitt & Fowler (2008), but we do not attempt this here.

The boundary layer structure for $dT/dz$ and $dF/dz$ is largely similar to that of $dT/dP$ and $dF/dP$ in Fig. 3: the temperature gradient transitions from the solid adiabat value to equilibrium melting value and the melting rate starts off at zero and increases to the equilibrium melt productivity. The porosity gradient $d\phi/dz$ initially increases in a similar manner to $dF/dz$, but then decreases: Initially, there is no separation of melt from matrix, but as the porosity increases, permeability increases. Melt then separates from the matrix and porosity increases at a lower rate. A similar effect occurs in the compaction pressure profile: $P$ changes sign as we go from a situation where melt is initially locked to the matrix (so the matrix must dilate as a result of melting, $P < 0$) to one where melt can flow freely and the matrix can compact ($P > 0$). The compaction pressure is directly related to the rate of melting and the build up in compaction pressure reflects the increase in the rate of melting.

It should be reiterated that the Damköhler numbers used in the above calculations are demonstrative rather than necessarily realistic. The reactive length scale for mantle melting is around 10 m (Aharonov et al. 1995) and so in practice the reactive boundary layers at the onset of melting are negligible in extent. Nevertheless, reactive boundary layers are an important feature of the disequilibrium equations.

11 CONCLUSIONS

The main outcome of this work is a framework for studying disequilibrium two phase multicomponent flow that generalises the familiar batch and fractional models of melting. Two simple melting problems have been addressed which give a flavour of the behaviour of the equations, but the more interesting problems that need to be tackled next are time-dependent, two or three dimensional, with two or more components and require a more detailed numerical study. Existing geodynamic codes already model equilibrium two phase multicomponent flow (e.g. Katz 2008) and hopefully only small modifications of these codes will be needed to address disequilibrium.

Perhaps the most important problem to revisit is the reaction infiltration instability (Aharonov et al. 1995; Spiegelman et al. 2001). This channelling instability relies on having at least two components and two dimensions. Current models of the instability have used somewhat ad hoc laws for interphase mass transfer: With the framework presented here connections could be made to real phase diagrams, and melting can occur in a self-consistent energy conserving manner rather than as an ad hoc imposed function of depth. Some further analytical work on reaction infiltration may be possible, such as a linear stability analysis of the two component equations, but most future work will need detailed numerics.

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**APPENDIX A: THE RELATIONSHIPS BETWEEN Pressures**

A detailed description of the relationships between interface pressure, fluid pressure and solid pressure was given by Bercovici & Ricard (2003) and Ricard (2007), and is reiterated in outline below. Bercovici & Ricard (2003) assumed the interface pressure to be a linear combination of the fluid and solid pressures,

\[ P = (1 - \omega)p_f + \omega p_s, \]

(A1)

where \( \omega \) quantifies the partitioning of surface energy. \( \omega = 0 \) corresponds to the case described by McKenzie (1984). In terms of pressure differences, (A1) can be written as

\[ P - p_f = \omega \Delta p, \]

(A2)

\[ P - p_s = -(1 - \omega)\Delta p, \]

(A3)

where \( \Delta p \equiv p_s - p_f \) is the mechanical pressure difference between solid and fluid. The viscous dissipation (33) then becomes

\[ \Psi = d (|\nu_f - \nu_s|^2 + \Delta p (\phi \omega \nabla \cdot \nu_f - (1 - \phi)(1 - \omega) \nabla \cdot \nu_s)) + \phi \tau_f : \nabla \nu_f + (1 - \phi) \tau_s : \nabla \nu_s. \]

(A4)

The above form of the viscous dissipation suggests a linear phenomenological law of the form

\[ \Delta p = B (\phi \omega \nabla \cdot \nu_f - (1 - \phi)(1 - \omega) \nabla \cdot \nu_s), \]

(A5)

provided there is no coupling with the other scalar thermodynamic fluxes (Sramek et al. 2007). The form of the phenomenological coefficient \( B \) is unknown and will depend on parameters such as porosity. If we write the coefficient \( B = \zeta_\phi/(1 - \omega - \phi)^2 \) such that the phenomenological law is written as

\[ \Delta p = \zeta_\phi(\phi \omega \nabla \cdot \nu_f - (1 - \phi)(1 - \omega) \nabla \cdot \nu_s), \]

(A6)

then \( \zeta_\phi \) can be identified with the effective bulk viscosity used by McKenzie (1984). When \( \omega = 0 \), eqs (A2) and (A3) with (A6) are identical to (25) and (26). The advantage of (A2), (A3) and (A6) is

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that they are clearly symmetric: they are invariant under the change
\( \phi \leftrightarrow 1 - \phi, \omega \leftrightarrow 1 - \omega, p_f \leftrightarrow \rho_f, v_f \leftrightarrow v_s, (\text{assuming the effective}
\text{bulk viscosity } \zeta \text{ obeys this same symmetry}).

In Bercovici et al. (2001) the symmetric form
\[
B = \frac{K_0 (\mu_f + \mu_s)}{\phi (1 - \phi)}
\]
\[
(A7)
\]
is suggested for the phenomenological coefficient \( B \), where \( K_0 \) is a constant, and \( \mu_f \) and \( \mu_s \) are the true (rather than effective) viscosities of fluid and solid. The corresponding deviatoric stress tensors are given by
\[
\tau_f = \mu_f \left( \nabla \mathbf{v}_f + \nabla \mathbf{v}_f^T - \frac{2}{3} (\mathbf{v}_f \cdot \mathbf{v}_f^T) \mathbf{I} \right).
\]
\[
(A8)
\]
\[
\tau_s = \mu_s \left( \nabla \mathbf{v}_s + \nabla \mathbf{v}_s^T - \frac{2}{3} (\mathbf{v}_s \cdot \mathbf{v}_s^T) \mathbf{I} \right).
\]
\[
(A9)
\]
When the fluid is much less viscous than the solid, \( \mu_f \ll \mu_s \), these reduce to (27) and (28), provided \( \eta_f \rightarrow \mu_s (1 - \phi) \) in this limit.

Another way of writing the phenomenological laws is in terms of an effective pressure \( p_e \), which can be defined as the difference between mean mechanical pressure \( \overline{P} \) and interface pressure \( P \) (cf. Connolly & Podladchikov 1998). The effective pressure \( p_e \) is linearly related to the pressure difference \( \Delta P \) by
\[
p_e = \overline{P} - P = (1 - \omega - \phi) \Delta P.
\]
\[
(A10)
\]
Thus a phenomenological law for effective pressure is
\[
p_e = \zeta \phi (\phi \omega \nabla \cdot \mathbf{v}_f - (1 - \phi)(1 - \omega) \nabla \cdot \mathbf{v}_s).
\]
\[
(A11)
\]
When \( \omega = 0, p_e = -\zeta \phi \nabla \cdot \mathbf{v}_f \), and when \( \omega = 1, p_e = -\zeta \phi \nabla \cdot \mathbf{v}_s \).

Further discussion of the pressure relationships can be found in Simpson et al. (2010a).

**APPENDIX B: VECTOR PHENOMENOLOGICAL LAWS**

The derivation of interphase phenomenological laws for fluxes of heat and mass follows directly from the derivation for single phase fluids which is described in detail by de Groot & Mazur (1984) and a brief summary is given here. It is useful to use the alternative definition of heat flux given in (52). The entropy flux (41) can then be written as
\[
j = q' + \sum J'_f s'_f + J'_s s'_s,
\]
\[
(B1)
\]
where \( s'_f \) and \( B' \) are the specific entropies per components. The corresponding entropy production (42) can be written as
\[
T \sigma = Q + \frac{q'}{T} \nabla T + \sum_j \Gamma_j' \Delta \mu'_j - \mathbf{J}'_f \cdot \nabla \mu'_f - \mathbf{J}'_s \cdot \nabla \mu'_s
\]
\[
(B2)
\]
\[
= T \nabla \left( \frac{\mu'_f}{T} \right) - \frac{B'_f}{T} \nabla T = \nabla \mu'_f - \frac{B'_f}{T} \nabla T
\]
\[
(B3)
\]
and \( \mu'_f = B'_f - T S'_f \). \( \nabla T \) refers to gradients at fixed temperature.

The entropy production due to vector fluxes is given from (B2) as
\[
\sigma = -\frac{q'}{T^2} \cdot \nabla T - \sum_j J'_f \frac{\nabla \mu'_f}{T} - \sum_j J'_s \frac{\nabla \mu'_s}{T}.
\]
\[
(B4)
\]
This suggests linear phenomenological laws of the form
\[
\mathbf{q}' = -A \nabla T - \sum_k B_{f k} \nabla \mu'_f - \sum_k B_{s k} \nabla \mu'_s,
\]
\[
(B5)
\]
\[
\mathbf{J}'_f = -C'_f \nabla T - \sum_k L_{f k} \nabla \mu'_f - \sum_k L_{s k} \nabla \mu'_s,
\]
\[
(B6)
\]
\[
\mathbf{J}'_s = -C'_s \nabla T - \sum_k L_{s k} \nabla \mu'_f - \sum_k L_{s k} \nabla \mu'_s.
\]
\[
(B7)
\]
However, the forces and fluxes here are not independent, as there are linear relations between them. The diffusive mass fluxes of components are constrained by conservation of mass through (12)
\[
\sum_j \mathbf{J}'_j = \sum_j \mathbf{J}' = 0,
\]
\[
(B8)
\]
and the forces are constrained by Gibbs–Duhem equations for the two phases,
\[
\sum_j c'_f \nabla \mu'_f = -s_f \nabla T + \frac{1}{\rho_f} \nabla P,
\]
\[
(B9)
\]
\[
\sum_j c'_s \nabla \mu'_s = -s_s \nabla T + \frac{1}{\rho_s} \nabla P,
\]
\[
(B10)
\]
which can be rewritten using (B3) as
\[
\sum_j \rho_j c'_j \nabla \mu'_j = \nabla P,
\]
\[
(B11)
\]
\[
\sum_j \rho_j c'_j \nabla \mu'_j = \nabla P.
\]
\[
(B12)
\]
The right hand side in the above is often neglected (see de Groot & Mazur 1984, Chapter 11), but this assumes mechanical equilibrium. When there exist linear relationships between the forces and fluxes there are two ways to proceed. Either one can use the linear relationships to define a new set of independent fluxes and forces, or one can keep the existing fluxes and forces and acknowledge that there are constraints on the coefficients. Without independent fluxes and forces, the phenomenological coefficients are not unique, however they can always be chosen such that the Onsager reciprocal relations hold (see de Groot & Mazur 1984, chapter 6). In this case, the Onsager reciprocal relations are
\[
B'_j = C'_j, \quad B'_j = C'_j, \quad L_{f k} = S_{f k}, \quad S_{s k} \equiv L_{s k}, \quad L_{s k} = L_{s k}.
\]
\[
(B13)
\]
\[
(B14)
\]
For the sake of simplicity, it is helpful to assume that a lot of these cross couplings are negligible and reduce the above to simplified phenomenological laws, for example,
\[
\mathbf{q}' = -k \nabla T
\]
\[
(B15)
\]
\[
J'_f = -\phi \rho_f \sum_{k=1}^{n-1} D_{f k} \nabla c'_k, \quad j = 1, 2, \ldots, n - 1
\]
\[
(B16)
\]
\[
J'_s = -(1 - \phi) \rho_s \sum_{k=1}^{n-1} D_{s k} \nabla c'_k, \quad j = 1, 2, \ldots, n - 1
\]
\[
(B17)
\]
The phenomenological law for melting can then be written as
\[
\Gamma = \frac{\lambda_f L^2}{C T_0} \left( \theta + \frac{z}{l_m} \right) = \frac{\rho_f v_0}{l_r} \left( \theta + \frac{z}{l_m} \right)
\]
where \(l_r\) is a reactive length scale,
\[
l_r = \frac{\rho_f v_0 C T_0^2}{\lambda_f L^2}.
\]

The ratio of the two length scales \(l_m\) and \(l_r\) defines a Damköhler number,
\[
\text{Da}_r = \frac{l_m}{l_r} = \frac{\rho_f v_0 C^2 T_0^2}{\lambda_f L^2} \frac{dT_m/dP|_{T_0}}{dT_m/dP|_{\Theta}}.
\]

Note that this differs from the Damköhler numbers defined in the main text which are based on scaling by the column height.

The steady state 1-D column equations can be non-dimensionalised using \(z = z/l_m\), \(\Gamma = \Gamma^*\rho_f v_0/l_m\). The non-dimensional governing equations are, using (132), (137) and (6),
\[
\frac{dF}{dz} = \Gamma^*,
\]
\[
\frac{d\Theta}{dz} = -\mathcal{A}^*(1 + \text{St}^* \Theta) - \Gamma^*,
\]
where
\[
\mathcal{A}^* = \frac{\alpha_f T_0}{\rho_f C dT_m/dP|_{T_0}}, \quad \text{St}^* = \frac{L}{C T_0}.
\]

\(\mathcal{A}^*\) is the adiabatic parameter and \(\text{St}^*\) is a Stefan number. At the onset of melting \(F = 0\) and \(\Theta = 0\). The boundary layer structure is apparent when the equations are rescaled using \(\epsilon = (\text{Da}_r)^{-1}\) (a small parameter for rapid kinetics) with \(z = \epsilon y\), \(F = \epsilon f\), \(\Theta = \epsilon \theta\). To leading order in \(\epsilon\),
\[
\frac{df}{dy} = \Gamma^*,
\]
\[
\frac{d\theta}{dy} = -\mathcal{A}^* - \Gamma^*,
\]
\[
\Gamma^* = \theta + y.
\]
with \( f(0) = 0 \) and \( \theta(0) = 0 \). These integrate to give
\[
\theta(y) = -y + (1 - \mathcal{A}^*(1 - e^{-y}),
\] (D16)
\[
f(y) = (1 - \mathcal{A}^*)(y - 1 + e^{-y}),
\] (D17)
with derivatives
\[
\frac{d\theta}{dy} = -1 - (1 - \mathcal{A}^*)(1 - e^{-y}),
\] (D18)
\[
\frac{df}{dy} = (1 - \mathcal{A}^*)(1 - e^{-y}).
\] (D19)
In dimensional form, the above can be written as
\[
\frac{dT}{dz} = -\frac{\alpha_l T_0}{C} - \left(\rho g \frac{dT_m}{dP} \bigg|_{T_0} - \frac{\alpha_g T_0}{C}\right) \left(1 - e^{-z/l_T}\right),
\] (D20)
\[
\frac{dF}{dz} = \frac{C}{L} \left(\rho g \frac{dT_m}{dP} \bigg|_{T_0} - \frac{\alpha_g T_0}{C}\right) \left(1 - e^{-z/l_T}\right),
\] (D21)
eqs (D20) and (D21) are fairly intuitive: The gradient in temperature starts off at the solid adiabatic gradient and ends up at the Clapeyron slope and the melt productivity starts off at zero and ends up at the same productivity as for equilibrium batch melting (Asimow et al. 1997). The boundary layer thickness is controlled by the reactive length \( l_T \), which shrinks as the reaction rate increases or the upwelling rate slows.

The dimensional temperature and degree of melting profiles are
\[
T = T_0 - \rho v \left(\rho g \frac{dT_m}{dP} \bigg|_{T_0} - \frac{\alpha_g T_0}{C}\right) z + \left(\rho g \frac{dT_m}{dP} \bigg|_{T_0} - \frac{\alpha_g T_0}{C}\right) l_T (1 - e^{-z/l_T}),
\] (D22)
\[
F = \frac{C}{L} \left(\rho g \frac{dT_m}{dP} \bigg|_{T_0} - \frac{\alpha_g T_0}{C}\right) \left(z - l_T (1 - e^{-z/l_T})\right).
\] (D23)
Outside the boundary layer \((z \gg l_T)\), these can be written as
\[
T = T_m(z) + \frac{L}{C} \frac{dF_m}{dz} \bigg|_{T_0} l_T,
\] (D24)
\[
F = F_m(z) = \frac{dF_m}{dz} \bigg|_{T_0} l_T.
\] (D25)
where \( F_m \) is defined to be the degree of melting for equilibrium melting \((\mathcal{D}_m^* \to \infty)\). Thus there is small degree of superheating \((T > T_m)\) and a slightly lower degree of melting \((F < F_m)\) that exists throughout the column as a result of the finite kinetics.

The boundary layer structure outlined above is very similar to that found in the reaction infiltration instability problem described by Aharonov et al. (1995). However, there is an important difference between the Aharonov et al. (1995) problem and the single component melting described above: For reaction infiltration, differences in concentration cause interphase mass transfer, whereas here interphase mass transfer is caused by differences in temperature. Concentration perturbations are advected with the fluid velocity in the Aharonov et al. (1995) problem, whereas temperature perturbations here travel more slowly, at the mean upwelling velocity \((v)\). As a result, the single component melting equations are stable and do not have a reaction infiltration instability.

The stability can be demonstrated as follows. In 1-D, total conservation of mass is
\[
\frac{\partial \rho}{\partial t} + \frac{\partial (\rho v)}{\partial z} = 0.
\] (D26)
Porosities are typically small \((\phi \ll 1)\) and thus \(\rho \approx \rho_s\) and \(\rho v \approx \rho_s v_0\). Neglecting the adiabat, conservation of energy is then
\[
\rho_s C \frac{\partial T}{\partial t} + \rho_s v_0 C \frac{\partial T}{\partial z} = -\Gamma L,
\] (D27)
which in non-dimensional variables is
\[
\frac{\partial \theta}{\partial t} + \frac{\partial \theta}{\partial z} = -\mathcal{D}_{ar} (\theta + z).
\] (D28)
Where an appropriate time scale for non-dimensionalising is \(t_0 = L_0/v_0\). Solutions to this equation can be sought in terms of perturbations about the steady state, \(\Theta = \Theta(z) + \epsilon e^{i(mz+\sigma t)}\). Neglecting boundary layers, a suitable steady state is
\[
\Theta(z) = -z + \frac{1}{\mathcal{D}_{ar}},
\] (D29)
and the growth rate of perturbations is
\[
\sigma = -\mathcal{D}_{ar} - im.
\] (D30)
Hence any perturbations in temperature will simply decay away.